





# Real-time Event Identification through Lowdimensional Subspace Characterization of High-dimensional Synchrophasor Data

#### Wenting Li, Meng Wang, Joe H. Chow 2017 CURENT Industry Conference November 14, 2017 Knoxville, Tennessee



Northeastern



# **Motivations**

- Fast event identification is beneficial to improve system security and prevent cascading failures;
- Model-based methods depend on the accuracy of system parameter estimation, and data-driven methods attract more attentions;
- The limitations of existing data driven methods include:
  - Identify single type of events<sup>[1]</sup>;
  - lack of physical interpretations<sup>[2-4]</sup>;
  - complicated training models<sup>[2]</sup>;
  - with a large dictionary size and expensive computations<sup>[2-4]</sup>;
  - most are offline algorithms<sup>[3-4]</sup>.

## Features of our identification method

- Characterizing events through low-dimensional subspaces, which are related to the eigenvalues of power system state matrix;
- These subspaces of data matrix in 1 second can be efficiently computed by singular value decomposition (SVD);
- Our dictionary has a small size;
- Can be implemented in real time.



## **Problem Formulation**

• Given the PMU measurements data  $M^{m \times T}$ , its low dimensional approximation can be obtained:



$$M^{m \times T} = U_k \Sigma_k V_k \qquad k \ll T$$

 $U_k$ : Span the column subspace;  $V_k$ : Span the row subspace;

 $\Sigma_k$ : The k largest singular values of  $M^{m \times T}$ .

#### Main Idea:

- Online track  $U_k$  to detect the abnormal event, and then localize by
- $U_k$ ,  $\Sigma_k$  and identify the type of event by  $V_k$ .

# **Event Identification through Subspace**

 Identify an event by comparing the row subspace of the real-time spatial-temporal PMU data blocks with a dictionary of subspaces obtained from recorded PMU data with known event types.



Fig. 1: Dictionary construction from historical datasets and real-time data identification through subspace comparison

## **Event characterization through subspace**

• Physically interpretation of subspace  $span(V_k)$  through linear system with an impulse input:

$$\begin{aligned} x_{k+1} &= Ax_k + Bu_0 \\ y_k &= Cx_k \end{aligned} \tag{1}$$

• In a short period of time, input  $u_0$  can be ignored;

$$M_{m \times T} = \begin{bmatrix} y_0 & y_1 & \dots & y_T \end{bmatrix} \approx U_k \Sigma_k V_k$$
$$\approx \sum_{i=1}^k l_i^T x_0 C r_i \begin{bmatrix} \lambda_i & \lambda_i^2 & \dots & \lambda_i^t \end{bmatrix}$$
(2)

- Where  $\lambda_i$ ,  $l_i$  are the *i*<sup>th</sup> eigenvalue and left eigenvectors of matrix A;
- $r_i$  is the *i*<sup>th</sup>right eigenvectors of matrix A;

• Let 
$$\beta_k^{\dagger} = [1, \lambda_k, \lambda_k^2, ..., \lambda_k^{\dagger}];$$
  
• Span $(V_k)$ = span $(\beta_k^{\dagger})$ 

Different type of events produces different eigenvalues, producing **different subspaces**.



# **Subspace Similarity Metric**

• Subspace angle<sup>[7]</sup>

$$\theta(S_k, S_l) = \arccos \sqrt{\frac{\left\| B_k^T, B_l \right\|_F^2}{\min(k, l)}}$$

• Where  $B_k$  spans the subspace  $S_k$  with dimensionality k, while  $B_l$  spans the subspace  $S_l$  with dimensionality l.

- A small subspace angle  $\theta(S_k, S_l)$  indicates a similar event type;
- The minimum angle  $\theta^*$  between the online data and all the dictionary atoms  $V_k^{i,j}$  points out the type of event;



## **Detection and Location**

• Detection indicator:

$$\varepsilon = \left\| y_t - U_k U_k^{\dagger} y_t \right\|_2$$

- Where  $y_t$  is the online data at time t,  $U_k U_k^{\dagger} y_t$  is estimated by column subspace  $U_k$ ;
- Once the threshold  $\varepsilon_0$  is exceeded, it indicates the occurrence of event;
- Location indicator for the *i*<sup>th</sup>bus:

 $\eta_i = \| (U_k \Sigma_k)_{i.} \|_2$ 

- Where  $(U_k \Sigma_k)_{i}$  is the  $i^{th}$  row of matrix  $(U_k \Sigma_k)$ ;
- Locate the most influenced buses by choosing the top k buses with the largest  $\eta_i$ , i=1,2...k.



### **The Framework of Our Approach**





## **Numerical Results**



Fig.2 Topology graph of 16-machine 68 bus power system

- We test it in the 16machine 68 bus power system through Power System Toolbox (PST);
- Sample frequency is 30 times / second;
  - 380 testing cases: line trip, load change, three phase short circuit and generator trip events at various locations and on different initial conditions.

# **Dictionary Constructed offline**

Table I Dictionary atoms for four types of events

Dictionary Type	The label of dictionary atoms
Line Trip	1-2, 4-14, 5-8, 12-11, 15-16, 30-32, 32-33, 33-34, 35-45, 42-41, 44-45
Short Circuit	2,3,8,12, 16, 25, 28, 31, 32, 33, 34, 35, 36, 37, 39, 43, 44, 50, 53, 56, 59, 62
Load Change	15, 16, 24, 32, 41, 42, 47, 48
Generator Trip	1, 2, 10, 11, 13, 14, 15

- Step 1: offline construct dictionary atoms
  - Line trip : Labeled by the line between two buses;
  - Load change : Labeled by the bus number;
  - **Short circuit** : Labeled by the bus number;
  - Generator trip: Labeled by the number of the tripped generator;
  - In total: 42 dictionary atoms, each of which has the dimensionality of 30 by r<sup>1</sup><sub>max</sub>, are selected based on 190 training datasets.

## **Identification Results**

Table II Minimum subspace angles between a test case and the dictionary

Dictionary	Load Change	Line Trip	Short Circuit	Generator Trip
Туре				
Events				
Load Change at bus 17	<b>2.6</b> °	10.8°	9.5°	9.8°
Line 7-8 is tripped	12.2°	<b>0.7</b> °	12.0°	3.0°
Short circuit at bus 36	13.5°	11.6°	<b>4.8</b> °	11.4°
Generator 5 is tripped	12.8°	2.2°	12.5°	<b>1.9</b> °

- Step 2: Online test four types of events
  - We utilized 1 second of data;
  - The subspace angles in Table II are the minimum one between online data and dictionary atoms of each type;
  - The bolder one, denoting the minimum one, points out the type of event.



## **Identification and Location Performance**

Table III Identification results of 380 testing datasets with different pre-conditions<sup>1</sup>

Type of event	IAR %	AR	
Line Trip	100	1.7	
Short Circuit	93.3	1.25	
Load Change	100	1.5	
Generator Trip	93.8	2.4	

- Identification Accuracy Rate (IAR): the ratio of the number of accurately identified events to the total number of events;
- Average Rank (**AR**): the average rank of the correct bus location in descending order according to  $\eta_i = \|(U_k \Sigma_k)_{i.}\|_2$ . The correct bus denotes the bus that is closest to the location of the event.

[1] denotes the different operating conditions before the events and are measured by  $\bar{\eta}_a^b = \frac{1}{L} \sum_{j=1}^{L} \frac{|P_j^b - P_j^a|}{|P_j^a|}$ , where  $P_j^a$  denotes the active power on condition a and *L* is the total number of lines.

# **Results of ISO-New England**



- 22 training dataset of the three types (a)-(c) are employed to construct a dictionary of 6 atoms;
- 10 testing datasets are successfully identified;
- Notice that exponential filters<sup>1</sup>, are utilized to reduce noise before computing the subspaces in the training and testing datasets.



### **Identification Results**

Table VI Minimum subspace angles between a test case and the dictionary atoms of three types of events in recorded PMU data

Dictionary Type	Load Change	Short Circuit	Line Trip
Events			
Load Change 1	<b>4.08</b> °	16.91°	18.29°
Load Change 2	<b>3.12</b> °	20.81°	14.39°
Fault 1	24.95°	<b>6.33</b> °	23.86°
Fault 2	8.93°	<b>3.73</b> °	15.76°
Line Trip 1	7.25°	5.85°	<b>3.93</b> °
Line Trip 2	11.20°	30.21°	<b>4.27</b> °

• The minimum subspace angle of each row indicates the type of event.



## Conclusions

- Our algorithm based on subspace can identify different types of events;
- It is efficient and only requires data in a short period of time;
- Can be implemented online algorithm and its requirements of storage is small;
- Both the simulated data and recorded PMU data validate the effectiveness;
- Further research will focus on identification of successive multiple events.

## Reference

- 1. Jie Wu, Jinjun Xiong, and Yiyu Shi. Efficient location identification of multiple line outages with limited pmus in smart grids. Power Systems, IEEE Transactions on, 30(4):1659–1668, 2015.
- Huaiguang Jiang, Jun Jason Zhang, Wenzhong Gao, and Ziping Wu. Fault detection, identification, and location in smart grid based on data-driven computational methods. Smart Grid, IEEE Transactions on, 5(6):2947–2956, 2014.
- 3. Wei Wang, Li He, Penn Markham, Hairong Qi, Yilu Liu, Qing Charles Cao, and Leon M Tolbert. Multiple event detection and recognition through sparse unmixing for high-resolution situational awareness in power grid. IEEE Transactions on Smart Grid, 5(4):1654–1664, 2014.
- 4. Yang Song, Wei Wang, Zhifei Zhang, Hairong Qi, and Yilu Liu. Multiple event analysis for largescale power systems through cluster-based sparse coding. In 2015 IEEE International Conference on Smart Grid Communications (SmartGridComm), pages 301–306. IEEE, 2015.
- 5. Huaiguang Jiang, Jun Jason Zhang, and David W Gao. Fault localization in smart grid using wavelet analysis and unsupervised learning. 2012 Conference Record of the Forty Sixth Asilomar Conference on Signals, Systems and Computers (ASILOMAR), pages 386–390. IEEE, 2012.
- 6. Mahdi Soltanolkotabi, Ehsan Elhamifar, Emmanuel J Candes, et al. Robust subspace clustering. The Annals of Statistics, 42(2):669–699, 2014.
- 7. R. J. Hyndman and G. Athanasopoulos, Forecasting: principles and practice. OTexts, 2014.



#### **Acknowledgements**



This work was supported in part by the ERC Program of NSF and DoE under the supplement to NSF Award EEC-1041877 and the CURENT Industry Partnership Program, and in part by NSF Grant 1508875.

Other US government and industrial sponsors of CURENT research are also gratefully acknowledged.

